

# Little Flavor

## Spacetime as a topological insulator

Phys. Rev. Lett. 108 (2012) 181807

DBK, Sichun Sun

## Little Flavor: A model of weak-scale flavor physics

Phys.Rev. D87 (2013) 125036

Sichun Sun, DBK, A. E. Nelson

## Effective field theory for Little Flavor

in preparation

Dorota Grabowska, DBK



# The three major flavor puzzles:

1. The big question: why 3 generations of quark and leptons?
  - ▶ new symmetries?
  - ▶ new dimensions?
  - ▶ new dynamics?
2. Why so much hierarchical structure in flavor parameters?
  - ▶ couplings: gauge  $\sim$  Higgs  $\sim$  top Yukawa  $\sim O(1)$   
CP violating phase  $\sim O(1)$
  - ▶ angles:  $V_{us} \sim 2 \times 10^{-1}$ ,  $V_{cb} \sim 4 \times 10^{-2}$ ,  $V_{ub} \sim 2 \times 10^{-3}$
  - ▶ masses:  $b/t \sim 5 \times 10^{-2}$ ,  $c/t \sim 10^{-2}$ ,  $s/t \sim 10^{-3}$ ,  $u/t \sim d/t \sim 10^{-5}$
3. What is the scale of flavor physics?
  - ▶ EW higgs sector, dark matter suggest new TeV physics
  - ▶ Absence of FCNC *seems* to suggest this new physics contains no new flavor structure.

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- **Hors d'oeuvres:**

spacetime as a topological insulator and the origin of families... an interesting (?) failure to explain the number of families

- **Main course:**

A new framework for flavor physics in 4d

- ◆ new low scale flavor physics at a few TeV)
- ◆ small Flavor Changing Neutral Currents (FCNC) in a phenomenological model with realistic quark masses, CKM matrix
- ◆ unusual flavor/Higgs structure

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• **Hors d'oeuvres:**

1. Why 3 generations of quark and leptons?

*Spacetime as a topological insulator*

Jackiw & Rebbi (1976):

Odd spacetime dimensions: Dirac fermion has a massless chiral surface mode

DBK (1992), Jansen & Schmaltz (1992):

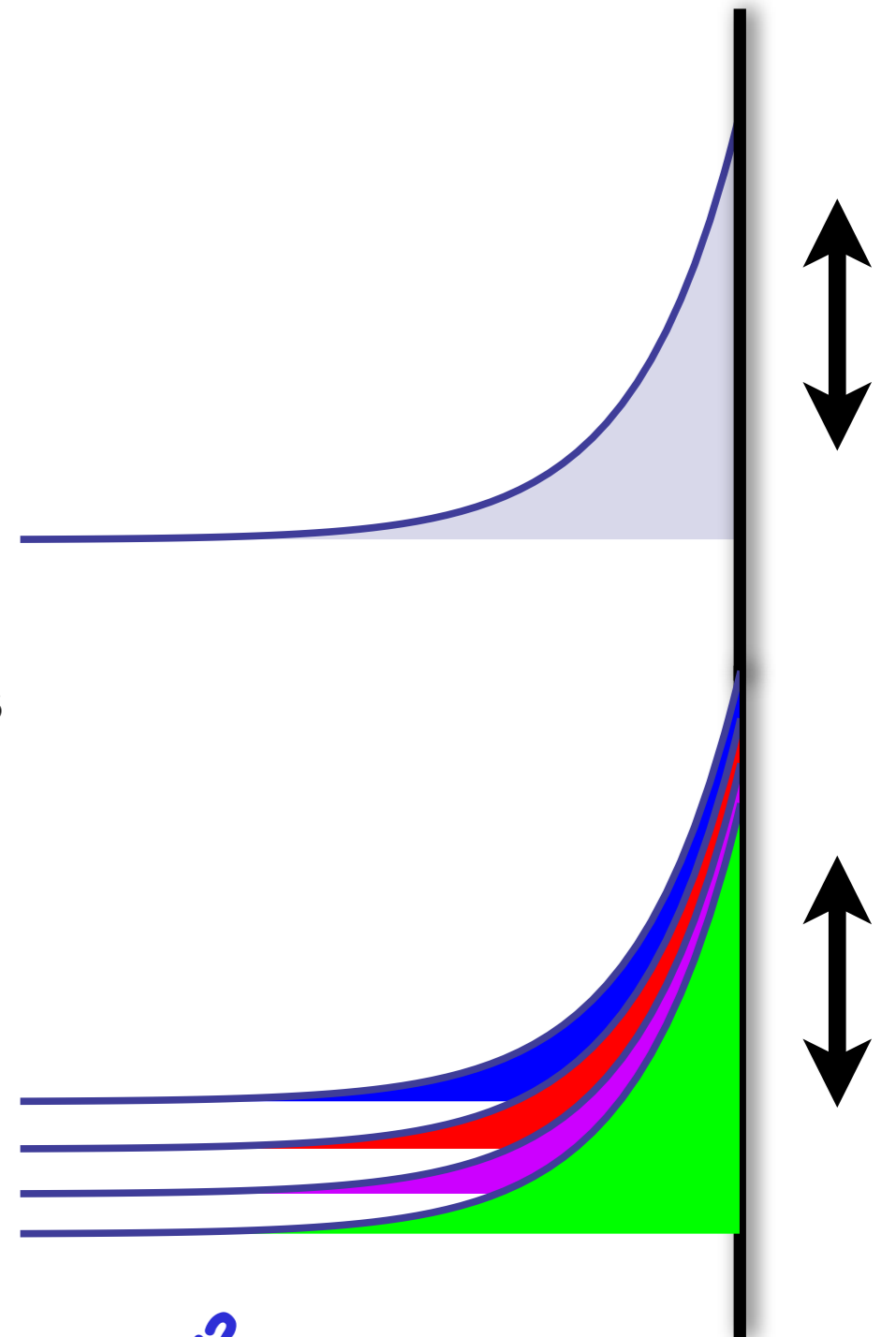
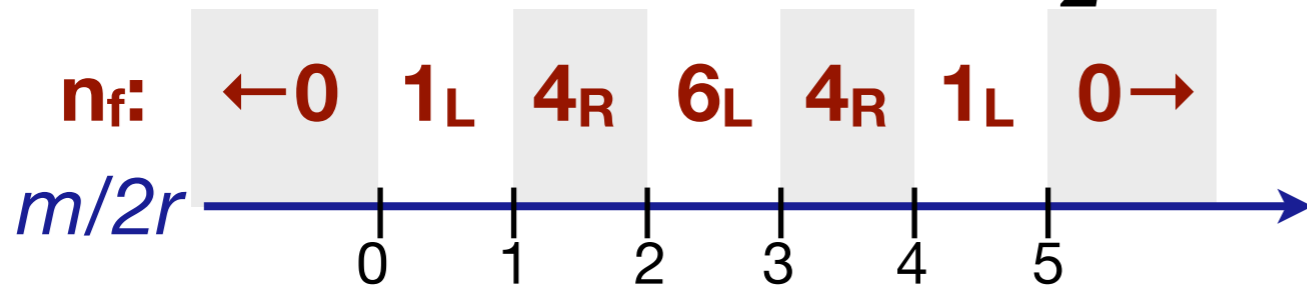
Lattice version has  $n_f$  copies of chiral surface modes

- $n_f$  changes discontinuously when Lagrangian parameters are varied continuously

E.g, d=5 lattice:

*lattice derivatives*

$$\mathcal{L} = \bar{\psi} i \not{\partial} \psi - m \bar{\psi} \psi + \frac{r}{2} \bar{\psi} \partial^2 \psi$$



*topology?  
on a lattice??*

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## *lattice derivatives*

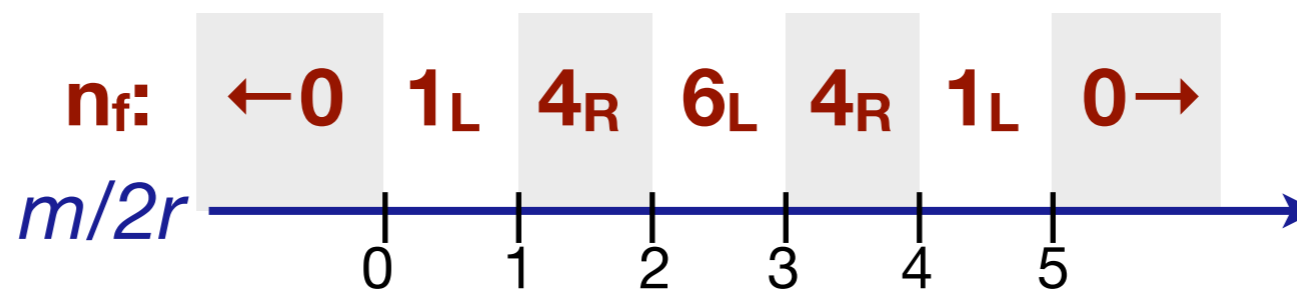
$$\mathcal{L} = \bar{\psi} i \not{\partial} \psi - m \bar{\psi} \psi + \frac{r}{2} \bar{\psi} \partial^2 \psi$$

$$S(p)^{-1} = m + \sum_{i=1}^5 \left[ i \gamma_i \frac{\sin p_i a}{a} + r \frac{(\cos a p_i - 1)}{a^2} \right]$$

Momenta lie on a d-torus (Brouillon zone):  $-\pi/a < p_i \leq \pi/a$

Fermion propagator  $S(p)$  maps  $T_d \Rightarrow S_d$ , integer winding number =  $n_f$

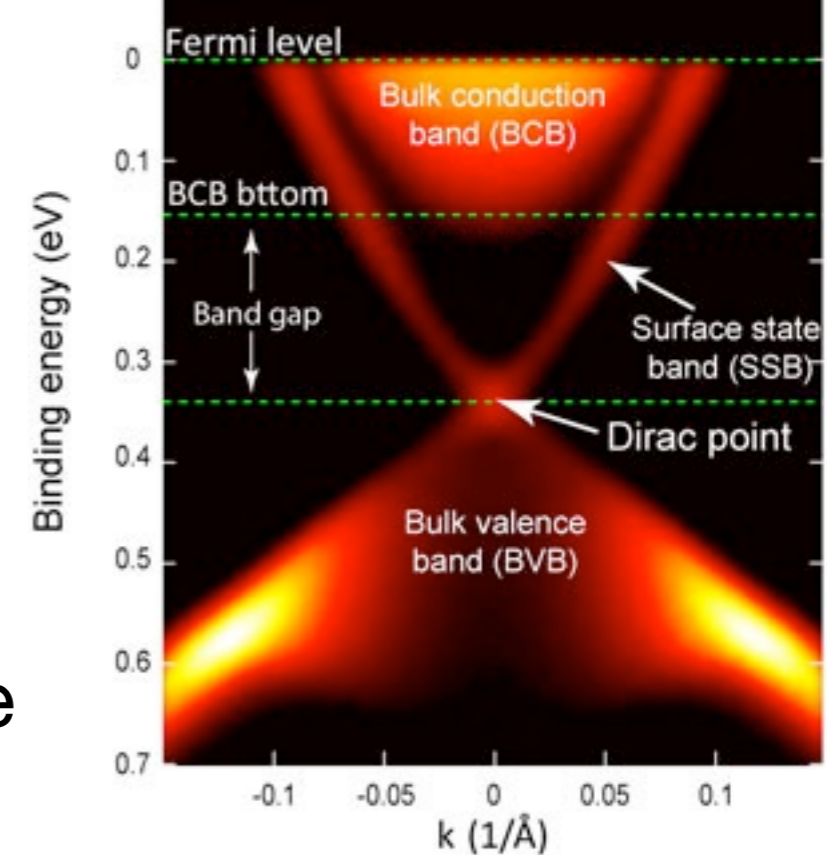
Number of zero modes changes when  $S(p)$  can have a pole for some Euclidian momentum:  $m/r=0,2,4,\dots$



Goltermann, Jansen, DBK, PLB301, 219, (1992)

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- Number of chiral surface modes result of topology of bulk fermion dispersion relation in momentum space
- Exactly the same physics subsequently discovered in CMT, called “topological insulators”
- Can 3 families of 4d fermions arise from a single family of 5d fermion through this mechanism?  
*# families determined by coupling constant values?*

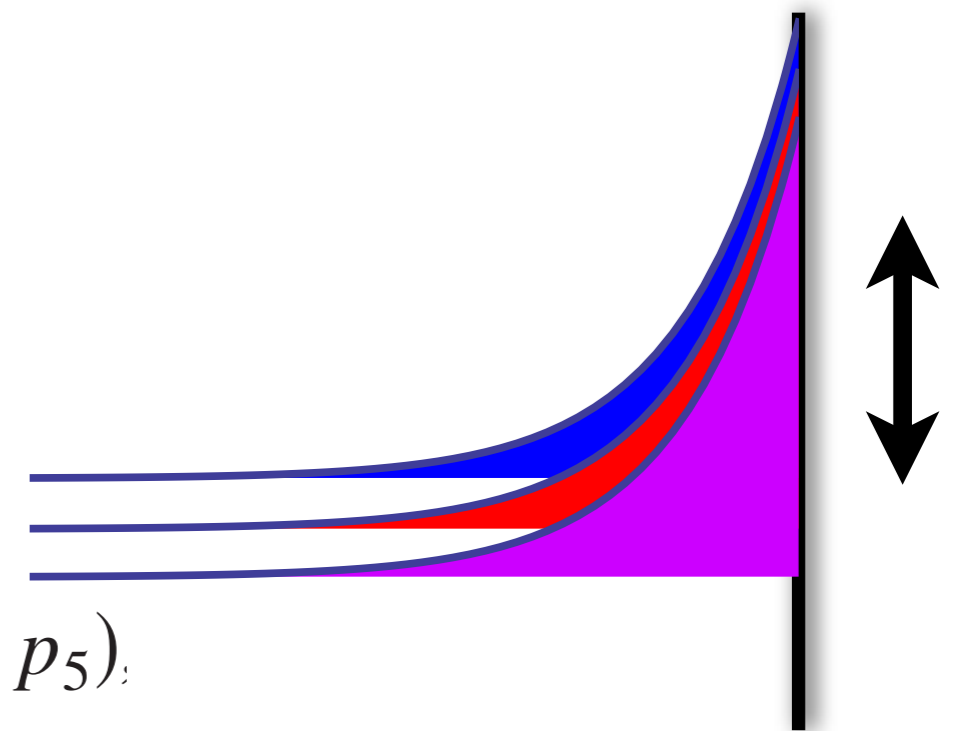


Possible to engineer model in semi-infinite 5th dimension with 3 families of zeromodes:

Bulk dispersion relation:

$$iG^{-1}(p_{\mu}, p_5) = iZ_{\mu}(p)\gamma^{\mu} + iZ_5(p_5)\gamma^5 - \Sigma(p, p_5).$$

Z,  $\Sigma$  can be chosen so that there are three 4d chiral families on surface of 5d



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- Possible to construct model in semi-infinite 5th dimension with 3 families of zeromodes; **but...**

1. can't have SM gauge fields live in noncompact extra dim

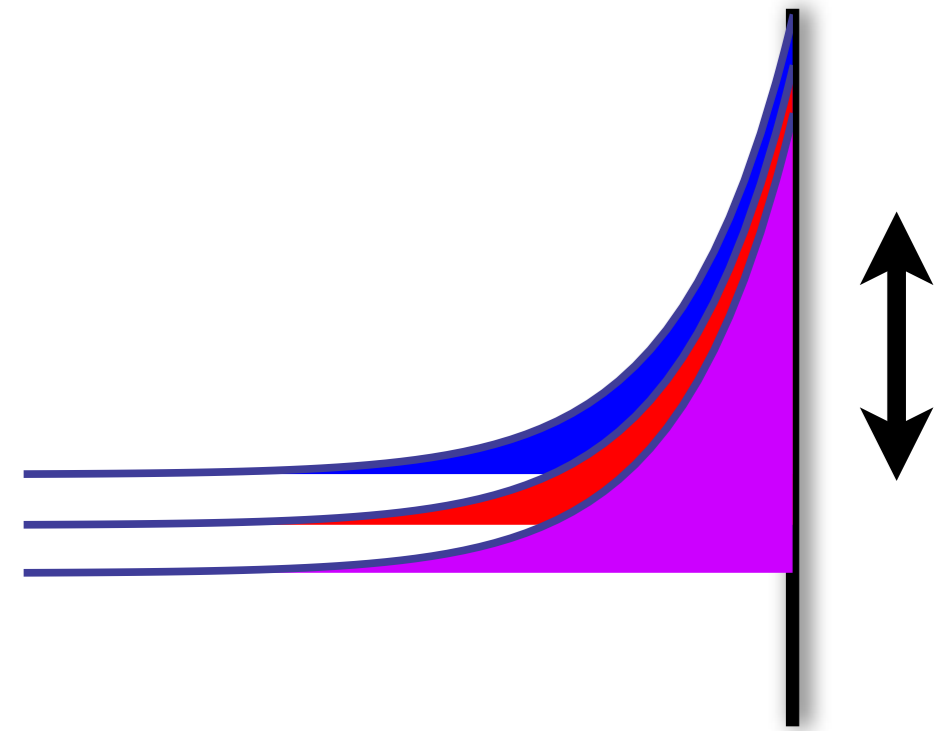
- ...so compactify

2. on compact manifold, find vector-like fermions instead of chiral

- can be made chiral with chiral orbifold projection

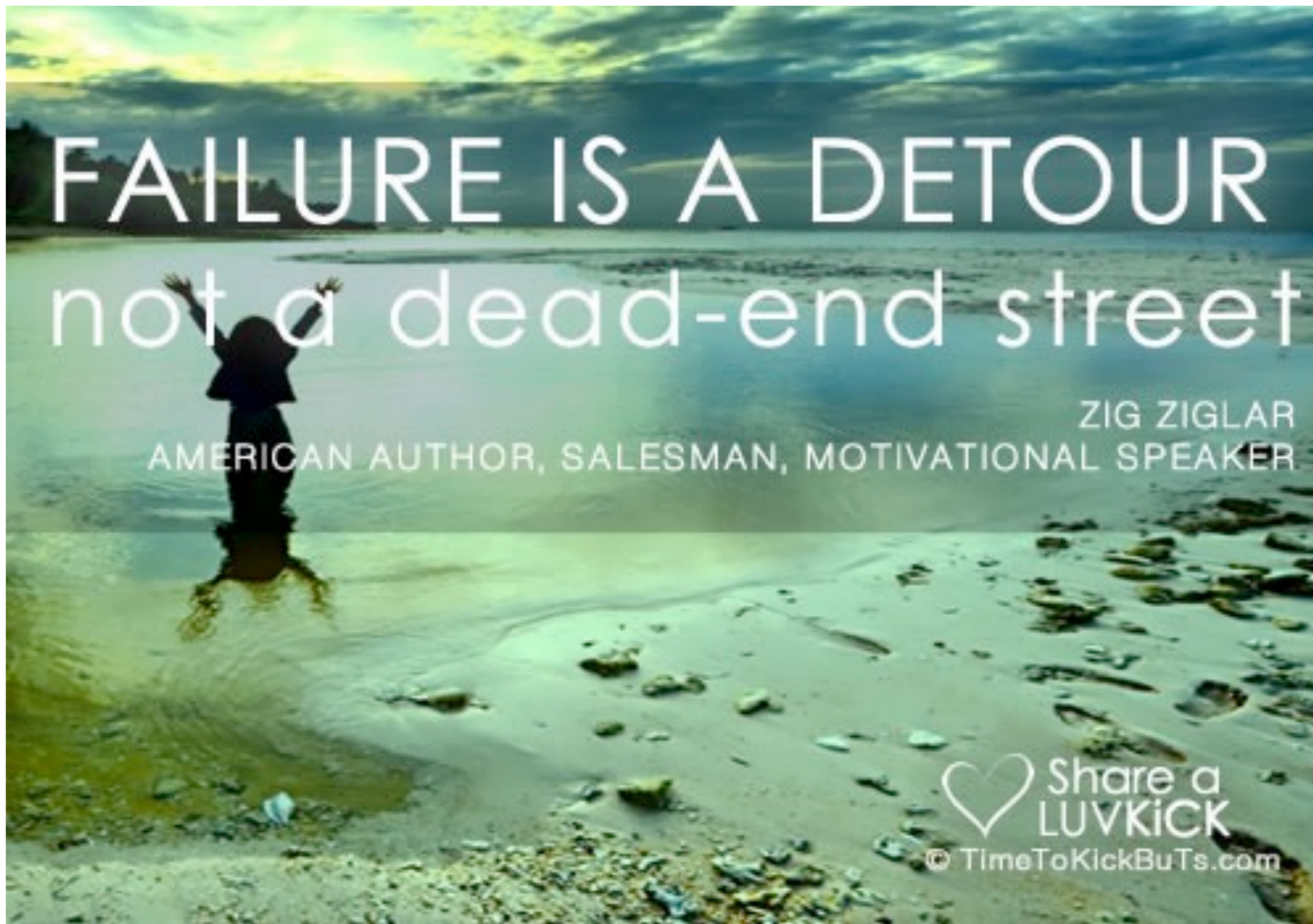
3. relies on UV physics:

- topology in  $x$  depends on large- $x$  behavior of fields
- $\Rightarrow$  topology in  $p$  depends on large  $p$  behavior of  $G^{-1}$
- Need UV completion to make sense..eg, deconstruction



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Outcome of deconstructing the 5d model: obtain a type of moose (quiver) diagram that has 3 families built into it. 😞



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- **Main course:**

Outcome of deconstruction lost the ability to explain 3 families, but it motivated looking at models that can tolerate a low scale for new flavor physics (Little Flavor)

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# Some allowed dim 6 FCNC operators:

$$\frac{c_{sd}}{\Lambda^2} (\bar{s}\gamma_\mu d)^2$$

$$\frac{c_{uc}}{\Lambda^2} (\bar{u}\gamma_\mu c)^2$$

$$\frac{c_{bd}}{\Lambda^2} (\bar{b}\gamma_\mu d)^2$$

experimental  
constraints

- ▶  $\text{Im}[c_{sd}] = O(1) \Rightarrow \Lambda > O(10^4) \text{ TeV} \dots 10^5 \times M_Z!$
- ▶  $\text{Re}[c_{sd}] = O(1) \Rightarrow \Lambda > O(10^3) \text{ TeV}$
- ▶  $c_{uc} = O(1) \Rightarrow \Lambda > O(10^3) \text{ TeV}$
- ▶  $c_{bd} = O(1) \Rightarrow \Lambda > O(10^2) \text{ TeV}$

Reasonable conclusion: new flavor physics arises from very high energy scale physics. 😞

Necessary conclusion? No: eg, Minimal Flavor Violation

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## Minimal Flavor Violation (Chivukula & Georgi, 1987)

- Yukawa couplings  $Y$  in SM explicitly break  $U(3)^5$  chiral symmetry:  $\{Q, L, U^*, D^*, E^*\} \times 3$  families
- Assume that in the UV theory that  $Y$  are the *only* “spurions” that break  $U(3)^5$
- Then the  $U(3)^5$  transformation which diagonalizes  $Y$  to go to mass eigenstate basis will diagonalize *all* dim 6 operators as well...no FCNC

## Other approaches to flavor: other chiral symmetries

- fermion mass matrices arise as products of various spurions that break some chiral flavor symmetry (eg, Froggatt Nielsen invoke a  $U(1)$  chiral symmetry which forbids fermion coupling to the Higgs)
- FCNC are not zero, but suppressed by small parameters related to small Yukawa couplings

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## Little Flavor (restricted to quark sector):

- **No** approximate chiral flavor symmetry
- Sizes of masses controlled by an approximate  $SU(4) \times U(3)$  symmetry
  - ◆  $U(3)$  is a vector-like flavor symmetry
  - ◆  $SU(4)$  is a nonlinearly realized symmetry related to pseudo-Goldstone Boson nature of the Higgs
- FCNC is nonzero but can be acceptable
- Combines features of conventional flavor models (such as Froggatt-Nielsen) with Little Higgs

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# How flavor models typically work (e.g. Froggatt-Nielsen):

- Start with a large chiral flavor symmetry  $G$  that forbids fermion Yukawa couplings
- Include “sparse” spurions  $\varepsilon$  which break  $G \Rightarrow G'$  at 1st order in  $\varepsilon$ ;  $G' \Rightarrow G''$  at 2nd order in  $\varepsilon$ , ...
- Fermion Yukawa matrices are built up in a hierarchical way with multiple insertions of spurions

## Problems:

- SM provides little clue to RH fermion flavor structure, not enough about LH...have to guess at textures, symmetries
- models tend to be rather complicated, not extremely predictive.

## Pluses:

- same spurions can suppress FCNC
- flavor structure related to symmetry

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# How Little Higgs models work:

(Arkani-Hamed, Cohen, Georgi (2001); Arkani-Hamed, Cohen, Katz, Nelson (2002) )

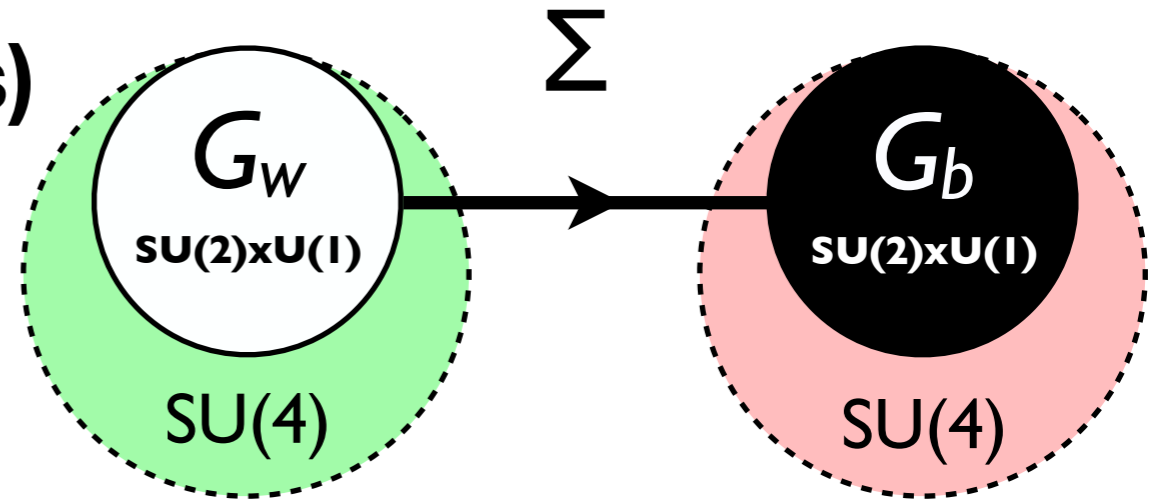
- Start with Higgs as a Goldstone boson of  $G/H$ , with scale  $f$ ;  $h \rightarrow h+f$  forbids Higgs potential (Kaplan, Georgi, 1984)
- Include “sparse” spurions  $\varepsilon_{1,2}$  which break  $G \Rightarrow G_{1,2}$ , two different subgroups of  $G$
- Both  $G_{1,2}$  individually retain an exact shift symmetry for the Higgs,  $h \rightarrow h+f$ , but the  $\varepsilon_{1,2}$  spurions break it when both are combined
- Higgs potential starts at order  $m^2 \propto \varepsilon_1 \times \varepsilon_2 f^2$ , typically at 2-loops for extra  $1/(4\pi)^4$ ...so Higgs is much lighter (“littler”) compared to scale of new physics  $f$  than naive naturalness estimates
- New physics can start at the few TeV scale
- New top partner at  $\sim 1$  TeV to cancel quadratic contribution to Higgs mass<sup>2</sup>

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# The Little Flavor model (for quarks)

*Gauge symmetry:*



- Nonlinear  $SU(4) \times SU(4)/SU(4)$   $\Sigma$  field lives on the link (scale  $f \sim 1.5 \text{ TeV}$ )
- Gauge group  $G_w \times G_b = [SU(2) \times U(1)]^2 \subset SU(4) \times SU(4)$ 
  - ★  $[SU(2) \times U(1)]^2$  broken to  $[SU(2) \times U(1)]_{SM}$  by  $\langle \Sigma \rangle = 1$
- $\Sigma$  contains two composite Higgs doublets  $H_u, H_d$ 
  - ★  $[SU(2) \times U(1)]_{SM}$  broken to  $U(1)_{EM}$  by  $\langle \Sigma \rangle = 1 + O(v/f)$ ,  $v \sim 10^2 \text{ GeV}$

*gauge couplings  $g_{1,w}, g_{2,w}, g_{1,b}, g_{2,b}$  related to SM couplings  $g, g'$  via two angles  $\gamma_{1,2}$ :*

$$g_{1,w} = \frac{g'}{\cos \gamma_1}, \quad g_{1,b} = \frac{g'}{\sin \gamma_1}, \quad g_{2,w} = \frac{g}{\cos \gamma_2}, \quad g_{2,b} = \frac{g}{\sin \gamma_2}$$

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Embedding of  
 $[SU(2) \times U(1)]^2 \supset SU(4)^2$ :

$$\begin{array}{cc}
 \begin{array}{|c|c|} \hline \tau_a & \\ \hline \tau_3 & \\ \hline \end{array} & \Sigma \\
 G_w = SU(2) \times U(1) & G_b = SU(2) \times U(1)^{-1}
 \end{array}$$

Parametrization of the  $\Sigma$  field:

$$\Sigma_H = \exp \left[ \left( \frac{i\sqrt{2}}{f} \right) \begin{pmatrix} 0 & \Phi^\dagger \\ \Phi & 0 \end{pmatrix} \right] \quad \Phi = \begin{pmatrix} H_u^T \\ H_d^T \end{pmatrix}$$

$$\Sigma = \xi \Sigma_H \xi \quad \xi = \exp \left[ (i/2f) \begin{pmatrix} \vec{\pi}' \cdot \vec{\sigma} + \eta/\sqrt{2} & 0 \\ 0 & \vec{\pi} \cdot \vec{\sigma} - \eta/\sqrt{2} \end{pmatrix} \right]$$

- ♦  $\pi'^{\pm}, \pi'^0 = SU(2)$  triplet; eaten by heavy  $W', Z'$
- ♦  $\pi^{\pm}, \pi^0, \eta = SU(2)$  singlets;  $\pi^0$  is eaten by heavy  $Z''$
- ♦  $H_u, H_d =$  SM Higgs doublets

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## Gauge boson masses:

*Ignoring Higgs vev  $v$ :*

$$M_\gamma = M_W = M_Z = 0$$
$$M_{W'} = M_{Z'} = \frac{gf}{\sin 2\gamma_2}, \quad M_{Z''} = \frac{g'f}{\sin 2\gamma_1}$$

*For  $f=1.5$  TeV,  $\gamma_1=\gamma_2=\pi/8$ :  $M_{W'} = M_{Z'} = 1.4$  TeV,  $M_{Z''} = 750$  GeV*

*$Z'$ ,  $Z''$  will have to be leptophobic to not be ruled out*

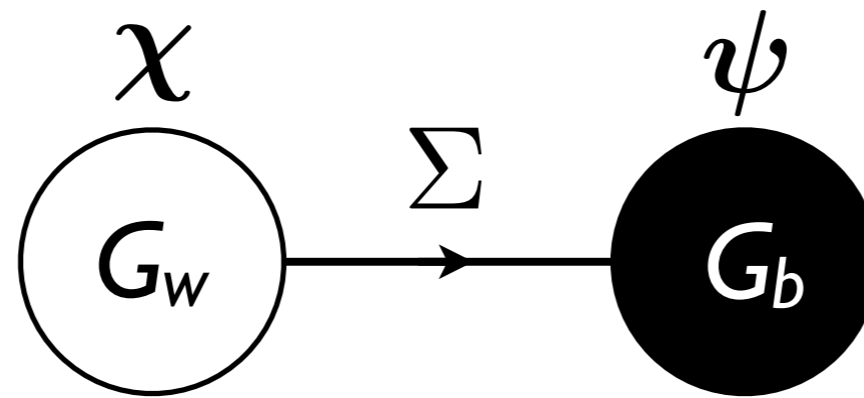
*Including Higgs vev  $v$ :*

- SM gauge bosons have conventional masses*
- Exotic gauge boson masses receive  $O(v^2/f^2)$  corrections*

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# Fermions:



on the **black** site:

- ♦ gauge group  $G_b = SU(2) \times U(1)$
- ♦ 3 copies of 4 **Dirac** fermions
- ♦ transform as a 4 of  $SU(4)_b$

$$\Psi = \begin{pmatrix} u \\ d \\ \hline U \\ D \end{pmatrix}_{i=1,2,3}$$

SU(2) doublet  
SU(2) singlets

on the **white** site:

- ♦ gauge group  $G_w = SU(2) \times U(1)$
- ♦ 3 copies of 4 **Chiral** fermions
- ♦ incomplete multiplets of  $SU(4)_w$

$$\chi_L = \begin{pmatrix} u \\ d \\ \hline 0 \\ 0 \end{pmatrix}_{i,L} \quad \chi_R = \begin{pmatrix} 0 \\ 0 \\ \hline U \\ D \end{pmatrix}_{i,R}$$

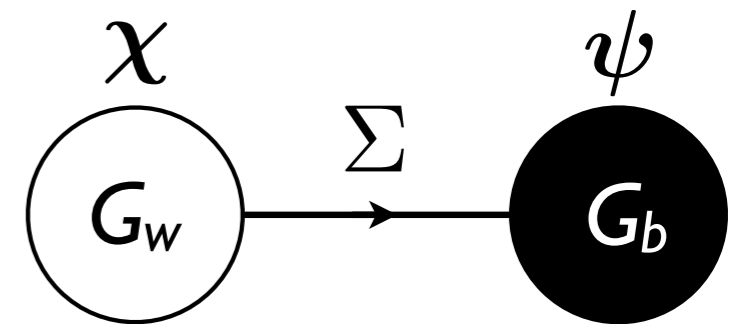
SU(2) doublet  
SU(2) singlets

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# Fermion mass and Yukawa interactions:

$U(3) \times SU(4)$  symmetric terms

$$\Psi = \begin{pmatrix} u \\ d \\ \hline U \\ D \end{pmatrix} \quad \chi_L = \begin{pmatrix} u \\ d \\ \hline 0 \\ 0 \end{pmatrix}_{i,L} \quad \chi_R = \begin{pmatrix} 0 \\ 0 \\ \hline U \\ D \end{pmatrix}_{i,R}$$



SU(2) doublet

.....  
SU(2) singlets

$$\mathcal{L}_{\text{sym}} = \bar{\psi} (i\not{D} - M) \psi + \bar{\chi} i\not{D} \chi + \lambda f (\bar{\chi} \Sigma \gamma_5 \psi + h.c.)$$

- Gives a common mass  $M \sim 5$  TeV to black Dirac fermions
- $\Sigma$  (including Higgs) couples black Dirac fermions to white chiral fermions;  $f \sim 1.5$  TeV,  $\lambda = O(1)$ .
- exact U(3) symmetry (acts on family index, not a chiral symmetry!)
- exact nonlinearly realized SU(4) symmetry (acts on black Dirac fermions and  $\Sigma$ )

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$$\mathcal{L}_{\text{sym}} = \sum_{n=1}^3 \left[ M \bar{\Psi}_n \Psi_n + \lambda f \left( \bar{\psi}_{L,n} \Sigma \Psi_{R,n} - \bar{\Psi}_{L,n} \Sigma^\dagger \psi_{R,n} \right) \right]$$

Expand to give Higgs couplings:

$$i\sqrt{2} \lambda \left[ \left( (\bar{u}_{w,n}, \bar{d}_{w,n})_L \Phi^\dagger \begin{pmatrix} U_{b,n} \\ D_{b,n} \end{pmatrix}_R - (\bar{u}_{b,n}, \bar{d}_{b,n})_L \Phi \begin{pmatrix} U_{w,n} \\ D_{w,n} \end{pmatrix}_R \right) \right]$$

$$\Phi^\dagger = (H_u^*, H_d^*)$$

- *Looks like a Higgs vev would give all fermions a mass...*
- ...but not true: can rotate  $\langle \Sigma \rangle \Rightarrow 1$  with SU(4) symmetry: then Higgs only has derivative couplings to fermions  $\Rightarrow$  at tree level Higgs looks like exact GB in  $\mathcal{L}_{\text{sym}}$  and so no Yukawa term
- So: still have 3 massless chiral quark families after  $[\text{SU}(2) \times \text{U}(1)]_{\text{SM}} \Rightarrow \text{U}(1)_{\text{em}}$ .

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# Fermion mass and Yukawa interactions:

Introduce  $U(3) \times SU(4)$  symmetry breaking terms in Dirac fermion masses

$$\mathcal{L}_{\text{asym}} = \sum_{m,n=1}^3 \bar{\Psi}_{m,L} (M^u X_u + M^d X_d)_{mn} \Psi_{n,R} + h.c.$$

- Acts only on black-site Dirac fermions
- $M^u, M^d$  break the  $U(3)$  symmetry  $\Rightarrow U(1)_B$

$$M^u = \begin{pmatrix} \mathcal{M}_{11}^u & \mathcal{M}_{12}^u & 0 \\ 0 & \mathcal{M}_{22}^u & 0 \\ \mathcal{M}_{31}^u & 0 & \mathcal{M}_{33}^u \end{pmatrix}, \quad M^d = \begin{pmatrix} \mathcal{M}_{11}^d & 0 & 0 \\ \mathcal{M}_{21}^d & \mathcal{M}_{22}^d & 0 \\ 0 & \mathcal{M}_{32}^d & \mathcal{M}_{33}^d \end{pmatrix}$$

- $X_u, X_d$  break the  $SU(4)$  symmetry  $\Rightarrow$  different  $SU(3)$  subgroups

$$X_u = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & -3 & \\ & & & 1 \end{pmatrix}, \quad X_d = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & -3 \end{pmatrix}$$

• Allows masses for ordinary families  
• Little Higgs mechanism

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Peculiar symmetry structure ensures Little Higgs mechanism in the fermion sector:

If  $M$  is the full fermion mass matrix, then

- $\text{Tr } M^\dagger M$  is independent of H vevs
- $\text{Tr } (M^\dagger M)^2$  is independent of H vevs

So there are neither quadratic nor log divergent contributions to the Higgs potential from fermions at one loop

There will be a finite Coleman-Weinberg contribution,  $\text{Tr } (M^\dagger M)^2 \ln(M^\dagger M)$ . To avoid fine tuning of the Higgs potential, there needs to be a Dirac top-partner at  $\sim 1$  TeV (will see it in this model)

*At this level there is a Peccei-Quinn symmetry protecting against flavor violating Higgs couplings...to be softly broken in Higgs potential*

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# Phenomenological fit to quark masses (RG scaled to 1 TeV) and CKM angles...not predictive, but useful for investigating FCNC

$$M = 5000 \text{ GeV} , \quad f = 1500 \text{ GeV} , \quad \tan \beta = \frac{v_u}{v_d} = 1$$

$$\lambda = 1.49794$$

$$M^u = \begin{pmatrix} 1189.54 & 15.4904 & 0 \\ 0 & 6.96490 & 0 \\ 3.50799e^{-i1.224428} & 0 & 0.01441071 \end{pmatrix} , \quad M^d = \begin{pmatrix} 45.7769 & 0 & 0 \\ -1.60269 & 0.600984 & 0 \\ 0 & 0.137582 & 0.0336607 \end{pmatrix} \quad (\text{GeV})$$

## Yields quark masses (GeV)

$$\begin{array}{lll} m_t = 153.2 & m_c = 5.32 \times 10^{-1} & m_u = 1.10 \times 10^{-3} \\ m_b = 2.45 & m_s = 4.69 \times 10^{-2} & m_d = 2.50 \times 10^{-3} \end{array}$$

and angles:

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.974 & 0.226 & 0.00385 \\ 0.226 & 0.973 & 0.0423 \\ 0.00892 & 0.0415 & 0.998 \end{pmatrix} \quad \sin(2\alpha) = 0.052 , \quad \sin(2\beta) = 0.72 , \quad \sin(2\gamma) = 0.68$$

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The model also has heavy SM fermion partners:

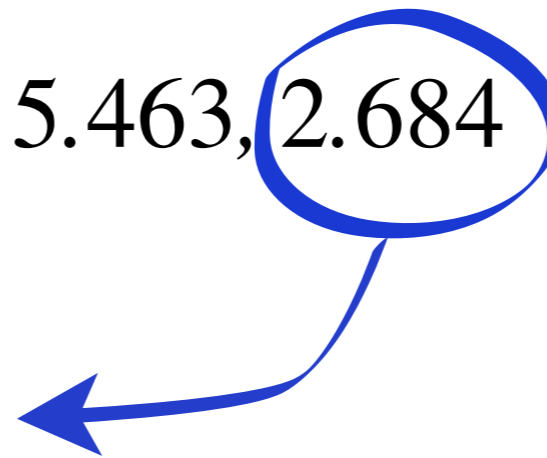
bottom-like masses (TeV):

6.628, 6.456, 5.489, 5.486, 5.482, 5.482

top-like masses (TeV):

6.628, 5.489, 5.482, 5.482, 5.463, 2.684

the top partner required  
by Little Higgs



But what about FCNC?? First, look at  $Z$ ,  $Z'$ ,  $Z''$  couplings

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# Flavor dependence of neutral gauge boson couplings (Z, Z', Z'')

$$M_{Z'} = 750 \text{ GeV} , \quad M_{Z''} = 1400 \text{ GeV}$$

$$|\mathcal{L}_Z^u| = \begin{pmatrix} 2.6 \times 10^{-1} & 0 & 1.9 \times 10^{-6} \\ 0 & 2.6 \times 10^{-1} & 9.7 \times 10^{-6} \\ 1.9 \times 10^{-6} & 9.7 \times 10^{-6} & 2.6 \times 10^{-1} \end{pmatrix} , \quad |\mathcal{R}_Z^u| = \begin{pmatrix} 1.1 \times 10^{-1} & 0 & 2.3 \times 10^{-6} \\ 0 & 1.1 \times 10^{-1} & 1.0 \times 10^{-5} \\ 2.3 \times 10^{-6} & 1.0 \times 10^{-5} & 1.1 \times 10^{-1} \end{pmatrix} ,$$

$$|\mathcal{L}_Z^d| = \begin{pmatrix} 3.2 \times 10^{-1} & 1.0 \times 10^{-6} & 5.0 \times 10^{-6} \\ 1.0 \times 10^{-6} & 3.2 \times 10^{-1} & 2.3 \times 10^{-5} \\ 5.0 \times 10^{-6} & 2.3 \times 10^{-5} & 3.2 \times 10^{-1} \end{pmatrix} , \quad |\mathcal{R}_Z^d| = \begin{pmatrix} 5.5 \times 10^{-2} & 0 & 0 \\ 0 & 5.5 \times 10^{-2} & 3.6 \times 10^{-6} \\ 0 & 3.6 \times 10^{-6} & 5.5 \times 10^{-2} \end{pmatrix} ,$$

$$|\mathcal{L}_{Z'}^u| = \begin{pmatrix} 2.6 \times 10^{-3} & 0 & 0 \\ 0 & 2.6 \times 10^{-3} & 3.4 \times 10^{-5} \\ 0 & 3.4 \times 10^{-5} & 3.8 \times 10^{-3} \end{pmatrix} , \quad |\mathcal{R}_{Z'}^u| = \begin{pmatrix} 1.4 \times 10^{-2} & 0 & 4.0 \times 10^{-4} \\ 0 & 1.5 \times 10^{-2} & 1.7 \times 10^{-3} \\ 4.0 \times 10^{-4} & 1.7 \times 10^{-3} & 3.7 \times 10^{-1} \end{pmatrix}$$

$$|\mathcal{L}_{Z'}^d| = \begin{pmatrix} 5. \times 10^{-3} & 1.9 \times 10^{-5} & 8.9 \times 10^{-5} \\ 1.9 \times 10^{-5} & 4.9 \times 10^{-3} & 4.1 \times 10^{-4} \\ 8.9 \times 10^{-5} & 4.1 \times 10^{-4} & 3.7 \times 10^{-3} \end{pmatrix} , \quad |\mathcal{R}_{Z'}^d| = \begin{pmatrix} 6.7 \times 10^{-3} & 0 & 2.6 \times 10^{-5} \\ 0 & 6.6 \times 10^{-3} & 2.0 \times 10^{-4} \\ 2.6 \times 10^{-5} & 2.0 \times 10^{-4} & 8.8 \times 10^{-3} \end{pmatrix}$$

$$|\mathcal{L}_{Z''}^u| = \begin{pmatrix} 1.9 \times 10^{-2} & 0 & 7.9 \times 10^{-5} \\ 0 & 1.9 \times 10^{-2} & 2.8 \times 10^{-4} \\ 7.9 \times 10^{-5} & 2.8 \times 10^{-4} & 2.9 \times 10^{-2} \end{pmatrix} , \quad |\mathcal{R}_{Z''}^u| = \begin{pmatrix} 1.4 \times 10^{-3} & 0 & 0 \\ 0 & 1.4 \times 10^{-3} & 0 \\ 0 & 0 & 1.3 \times 10^{-3} \end{pmatrix}$$

$$|\mathcal{L}_{Z''}^d| = \begin{pmatrix} 2.0 \times 10^{-2} & 1.0 \times 10^{-4} & 5.0 \times 10^{-4} \\ 1.0 \times 10^{-4} & 1.9 \times 10^{-2} & 2.3 \times 10^{-3} \\ 5.0 \times 10^{-4} & 2.3 \times 10^{-3} & 2.9 \times 10^{-2} \end{pmatrix} , \quad |\mathcal{R}_{Z''}^d| = \begin{pmatrix} 1.6 \times 10^{-3} & 0 & 0 \\ 0 & 1.6 \times 10^{-3} & 0 \\ 0 & 0 & 9.7 \times 10^{-4} \end{pmatrix}$$

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Can read off  $\Delta S = 2$  dim 6 operators from  $Z, Z', Z''$  exchange:

$$\frac{1 \times 10^{-12}}{M_Z^2} \simeq \frac{1}{(10^5 \text{ TeV})^2}, \quad \frac{4 \times 10^{-10}}{M_{Z'}^2} \simeq \frac{1}{(4 \times 10^4 \text{ TeV})^2}, \quad \frac{1 \times 10^{-8}}{M_{Z''}^2} \simeq \frac{1}{(1.3 \times 10^4 \text{ TeV})^2}$$

...all safe, even though:

- flavor physics is at the few TeV scale
- full theory does not have any approximate chiral flavor symmetry

*Easy to show that dim 6 contact operators from above the cutoff  $\Lambda \sim 4\pi f$  give tiny FCNC contributions (suppressed by spurions)*

Numerical fit is not very informative... what does FCNC look like parametrically?

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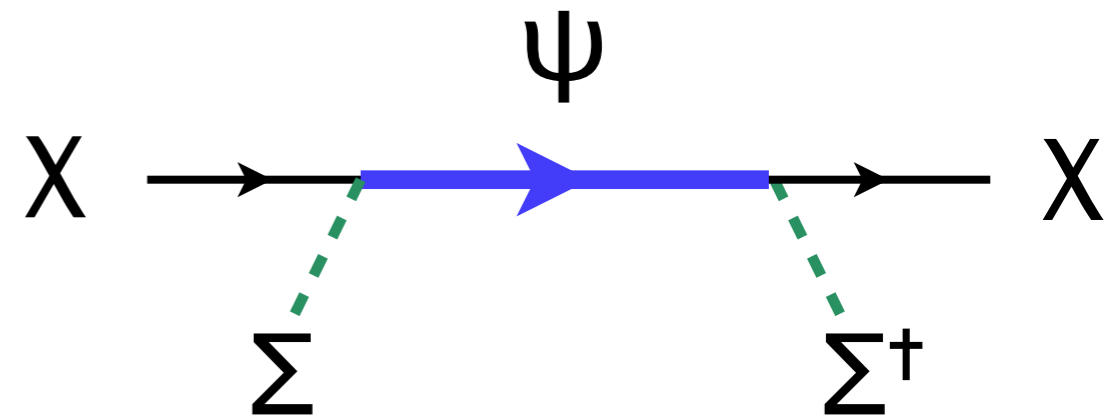
# EFT analysis of Little Flavor (in preparation with Dorota Grabowska)

$$\mathcal{L} = \bar{\chi} i \not{D} \chi + \bar{\psi} (\not{D} - \mathbf{M}) \psi + \lambda f \bar{\chi} \Sigma \gamma_5 \psi$$

$$\mathbf{M} = M + \mathcal{M}_u X_u + \mathcal{M}_d X_d$$

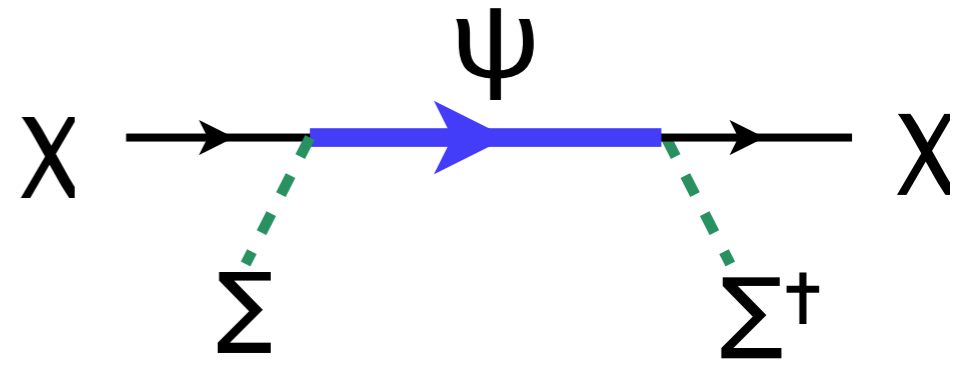
5 TeV  expand in 1/M

- Integrate out Dirac fermions  $\psi$  at tree level
  - ♦ *Not mass eigenstates...but that's OK!*



- Left with EFT for the chiral fermions  $\chi$ , 1:1 with SM quarks

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$$\mathcal{L}_\chi = \bar{\chi} i \not{D} \chi - (\lambda f)^2 \bar{\chi} \Sigma \left( \frac{1}{i \not{D} + \mathbf{M} P_+ + \mathbf{M}^\dagger P_-} \right) \Sigma^\dagger \chi$$

Expand in powers of  $D, \Phi$ :

$$\mathcal{L}_{\text{eff}} = i \bar{\chi} \left[ \not{D} + (\lambda f)^2 \not{D} \left( \frac{P_-}{\mathbf{M}^\dagger \mathbf{M}} + \frac{P_+}{\mathbf{M} \mathbf{M}^\dagger} \right) \right] \chi - 2i \lambda^2 f \bar{\chi} \left[ \Phi, \left( \frac{P_+}{\mathbf{M}} + \frac{P_-}{\mathbf{M}^\dagger} \right) \right] \chi + O(D^2, \langle \Phi \rangle D, \langle \Phi \rangle^2)$$

- w.f. renormalization
- F.C. gauge couplings
- quark Yukawa coupling to Higgs
- commutator vanishes w/o SU(4) breaking in M

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Restricting to the 2 light families, we find

$$M_{\text{up}}^{\text{SM}} \simeq -\frac{4(\lambda f)^2}{M^2 + (\lambda f)^2} \frac{v_u}{f} \mathcal{M}_u, \quad M_{\text{down}}^{\text{SM}} \simeq -\frac{4(\lambda f)^2}{M^2 + (\lambda f)^2} \frac{v_d}{f} \mathcal{M}_d,$$

Assuming the texture:

$$\mathcal{M}_u = \begin{pmatrix} \mathcal{M}_{u,11} & 0 \\ 0 & \mathcal{M}_{u,22} \end{pmatrix} \quad \mathcal{M}_d = \begin{pmatrix} \mathcal{M}_{d,11} & \mathcal{M}_{d,12} \\ 0 & \mathcal{M}_{d,22} \end{pmatrix}$$

we can fit these five real parameters to  $m_u, m_d, m_c, m_s, \sin\theta_c$ :

$$\mathcal{M}_{d,11} = \rho_d m_d \sec \theta_c \left[ 1 - \left( \frac{m_d}{m_s} \right)^2 \frac{\tan^2 \theta_c}{2} + \dots \right], \quad \mathcal{M}_{u,11} = \rho_u m_u,$$

$$\mathcal{M}_{d,12} = \rho_d m_s \sin \theta_c \left[ 1 - \left( \frac{m_d}{m_s} \right)^2 \frac{1 + \sec^2 \theta_c}{2} + \dots \right], \quad \mathcal{M}_{u,22} = \rho_u m_c,$$

$$\mathcal{M}_{d,22} = \rho_d m_s \cos \theta_c \left[ 1 + \left( \frac{m_d}{m_s} \right)^2 \frac{\tan^2 \theta_c}{2} + \dots \right],$$

$$\rho_{u,d} \equiv \frac{M^2 + (\lambda f)^2}{4(\lambda f)^2} \frac{f}{v_{u,d}} \sim 13 \text{ for our parameters, } v_u = v_d$$

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With this fit, mass diagonalization requires rotations of  $d$  quarks:

$$L_d = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix}, \quad R_d = \begin{pmatrix} \cos \theta_r & \sin \theta_r \\ -\sin \theta_r & \cos \theta_r \end{pmatrix}$$

$$\sin \theta_r = \tan \theta_c \frac{m_d}{m_s} \left[ 1 - \left( \frac{m_d}{m_s} \right)^2 \frac{\tan^2 \theta_c}{2} + \dots \right] \quad \text{Note: small angle}$$

Using the w.f. correction term & these rotations, can compute all of the flavor dependence in gauge boson couplings to linear order in the light Yukawa couplings...

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For example: flavor couplings of the lighter  $Z''$  ( $\sim 750$  GeV):

$$Z''_{L,u} = \frac{g'}{6} \beta_{\gamma_1} \left[ 1 + \frac{\zeta_{\gamma_1}}{v_u} \begin{pmatrix} 2(m_u + m_d \sec \theta_c) & m_s \sin \theta_c \\ m_s \sin \theta_c & 2(m_c + m_s \cos \theta_c) \end{pmatrix} \right]$$

$$Z''_{R,u} = \frac{2g'}{3} \beta_{\gamma_1} \left[ 1 + \frac{\zeta_{\gamma_1}}{v_u} \begin{pmatrix} 2(m_d \sec \theta_c - 3m_u) & m_s \sin \theta_c \\ m_s \sin \theta_c & 2(m_s \cos \theta_c - 3m_c) \end{pmatrix} \right]$$

$$Z''_{L,d} = \frac{g'}{6} \beta_{\gamma_1} \left[ 1 + \frac{\zeta_{\gamma_1}}{v_d} \begin{pmatrix} 2 \cos \theta_c (m_u + m_d \cos \theta_c + m_c \tan \theta_c \sin \theta_c) & -\sin \theta_c (2m_c \cos \theta_c + m_s) \\ -\sin \theta_c (2m_c \cos \theta_c + m_s) & 2 \cos \theta_c (m_s + m_c \cos \theta_c) \end{pmatrix} \right]$$

$$Z''_{R,d} = -\frac{g'}{3} \beta_{\gamma_1} \left[ 1 + \frac{\zeta_{\gamma_1}}{v_d} \begin{pmatrix} 2(m_u - 3m_d \cos \theta_c) & 3 \sin \theta_c (2m_d - m_s) - 2 \frac{m_d}{m_s} m_c \tan \theta_c \\ 3 \sin \theta_c (2m_d - m_s) - 2 \frac{m_d}{m_s} m_c \tan \theta_c & 2(m_c - 3m_s \cos \theta_c) \end{pmatrix} \right]$$

$$\beta_\gamma = \tan \gamma \frac{M^2 - f^2 \lambda^2 \cot^2 \gamma}{M^2 + f^2 \lambda^2} \simeq -0.06$$

for:

$M=5$  TeV

$f=1.5$  TeV

$\lambda=1.5$

$\gamma=\pi/8$

$$\zeta_\gamma = \frac{f}{4M} \frac{1 + \cot^2 \gamma}{1 - \frac{f^2 \lambda^2}{M^2} \cot^2 \gamma} \simeq 2.8$$

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$$Z''_{L,d} = \frac{g'}{6} \beta_{\gamma_1} \left[ 1 + \frac{\zeta_{\gamma_1}}{v_d} \begin{pmatrix} 2 \cos \theta_c (m_u + m_d \cos \theta_c + m_c \tan \theta_c \sin \theta_c) & -\sin \theta_c (2m_c \cos \theta_c + m_s) \\ -\sin \theta_c (2m_c \cos \theta_c + m_s) & 2 \cos \theta_c (m_s + m_c \cos \theta_c) \end{pmatrix} \right]$$

$$Z''_{R,d} = -\frac{g'}{3} \beta_{\gamma_1} \left[ 1 + \frac{\zeta_{\gamma_1}}{v_d} \begin{pmatrix} 2(m_u - 3m_d \cos \theta_c) & 3 \sin \theta_c (2m_d - m_s) - 2 \frac{m_d}{m_s} m_c \tan \theta_c \\ 3 \sin \theta_c (2m_d - m_s) - 2 \frac{m_d}{m_s} m_c \tan \theta_c & 2(m_c - 3m_s \cos \theta_c) \end{pmatrix} \right]$$

$$\beta_\gamma = \tan \gamma \frac{M^2 - f^2 \lambda^2 \cot^2 \gamma}{M^2 + f^2 \lambda^2} \simeq -0.06$$

small of FCNC due to:

- small Yukawas,  $\theta_c$
- small  $\beta$
- ...but not MFV

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So what have you just seen?

~~phenomenological~~  
~~A predictive theory of flavor~~  
~~model~~ ~~quarks~~  
scenario

- A model to explain how 3 families can arise from the dispersion relation of 5D fermions...but no satisfactory renormalizable formulation.
- A model with a novel set of flavor symmetries in a 4D theory
  - U(3) flavor symmetry explains hierarchies,
  - SU(4) symmetry on Dirac quarks + PGB nature of Higgs explains why quarks are light
  - flavor symmetries interplay with EW symmetry breaking
  - Natural flavor @ few TeV scale with very small FCNC

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## Little Flavor pros:

- New flavor symmetry to explore: nonlinear, not chiral, connected to the Higgs
- Can try to build flavor models at the few TeV scale
- FCNC are suppressed enough, but possibly visible
- Extra EW gauge bosons to discover

## Little Flavor cons:

- Radiative corrections to Yukawa couplings in simplest model raise light quark masses to  $\sim 100$  MeV
- Little Higgs potential needs work -- sort of ugly, need to get rid of the  $\eta$
- Needs leptons with  $Z'$ ,  $Z''$  being leptophobic
- Would like a more predictive framework for flavor

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