

## PHASE 2 RESEARCH ASSESSMENT

(Completed by Plan Owners)

This tool provides faculty and independent researchers (“Plan Owners”) with a consistent approach for meeting the assessment required by the State of California and guided by [California’s statewide industry guidance](#). Plan Owners should complete the below assessment and include it when submitting their Phase 2 research safety plans (“Plans”) to the appropriate dean or research unit director that reports to the Vice Chancellor for Research (“Authorized Official”) for approval. Plans utilizing layered tactics, such as combining physical distancing, frequent hand washing, consistent face covering use, and symptom self-screening practices may lower the potential for virus transmission.

Please complete this assessment by providing the requested information and checking the most appropriate/applicable box. **Plan Owners should include any additional information about their assessment and planned protective measures in the Plan submitted to their Authorized Official(s).**

---

**Name of person completing this assessment:**

**Research program/lab to which this assessment applies:**

### **RESEARCH SPACE & PHYSICAL DISTANCING**

**Exact location(s) of research program/lab space:**

After reviewing the lay out of the space(s) to be used in Phase 2 research activities, the research program’s standard operating procedures and safety protocols, [UCI’s guidance for working alone in the lab](#), [EH&S COVID-19 Learning Resources and Posters](#), [Checklist for Phase 2 Research Activities](#), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](#), and the [Guiding Principles contained in the Vice Chancellor’s May 11 message](#):

- No modifications, adjustments, and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.
- Plan Owner will implement modifications, adjustments and/or safety precautions to minimize the potential for virus transmission.
- It is not possible to minimize potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.

### **DISINFECTING SURFACES, EQUIPMENT & INSTRUMENTATION**

After reviewing the research program’s current disinfecting procedures, the [Cleaning Procedures for General Laboratories in Response to COVID-19](#), [EH&S COVID-19 Learning Resources and Posters](#), [Checklist for Phase 2 Research Activities](#), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](#), and the [Guiding Principles contained in the Vice Chancellor’s May 11 message](#):

- No modifications, adjustments, and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.

- Plan Owner will implement modifications, adjustments and/or safety precautions to minimize the potential for virus transmission.
- It is not possible to minimize potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.

#### **PERSONNEL HYGIENE & SELF-SCREENING FOR SYMPTOMS**

After reviewing current hygiene procedures for this research program, [UCI's face coverings protocol](#), , [EH&S COVID-19 Learning Resources and Posters](#), [Checklist for Phase 2 Research Activities](#), the [General Points of Consideration – Planning the Phased Increased of UCI's On-Site Research Activities](#), and the [Guiding Principles contained in the Vice Chancellor's May 11 message](#):

- No modifications, adjustments, and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.
- Plan Owner will implement modifications, adjustments and/or safety precautions to minimize the potential for virus transmission.
- It is not possible to minimize potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.

#### **RESEARCH TEAM COMMUNICATION & TRAINING**

After reviewing the current communication procedures and protocols for this research program, [Checklist for Phase 2 Research Activities](#), the [General Points of Consideration – Planning the Phased Increased of UCI's On-Site Research Activities](#), and the [Guiding Principles contained in the Vice Chancellor's May 11 message](#):

- No modifications and/or adjustments are required to help ensure that team members receive timely, informative communications regarding the Phase 2 research plan or information about UCI-wide guidance implementation at the research team level.
- Plan Owner will implement modifications and/or adjustments to help ensure that team members receive timely, informative communications regarding the Phase 2 research plan and information about UCI-wide guidance implementation at the research team level.
- Not applicable – Plan Owner will reassess these activities later for Phase 3 research.

#### **UNIQUE CIRCUMSTANCES**

After conducting the above assessments, carefully contemplating the [Checklist for Phase 2 Research Activities](#), the [General Points of Consideration – Planning the Phased Increased of UCI's On-Site Research Activities](#), the [Guiding Principles contained in the Vice Chancellor's May 11 message](#), and considering the nature and needs of the research program:

- No unique circumstances exist.
- Unique circumstances exist for which additional modifications, adjustments and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.

- Unique circumstances exist, but even with additional modifications, adjustments and/or safety precautions it is not possible to minimize the potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.